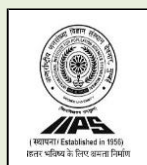


International Institute for Population Sciences



Deemed-to-be-University
B. S. Devashi Marg (Govandi Station Road)
Deonar, Mumbai, Maharashtra 400088

<https://www.iipsindia.ac.in/>

IIPS-IRB Application Form

International Institute for Population Sciences -Institutional Review Board (IIPS-IRB) Application form for the review of the proposal

General instructions:

- a) To be filled by the Principal Investigator (PI);
- b) Please tick mark the appropriate one, mark NA if not applicable; and
- c) Attach a separate sheet if required

Title of the Proposal :

Name of the Applicant :

Name of the Principal :

Investigator

Designation :

Department :

Date of submission :

Type of review

Exemption from Review ☐ Expedited Review ☐ Full Committee Review ☐

Status of Review New ☐ Revise ☐

SECTION A: ADMINISTRATIVE INFORMATION

Project Investigators	Name, Qualifications and Designation	Department and Organization Address and Contact Details	Roles and Responsibilities	Signature
PI:				
Co-PI:				

Please attach a brief bio and CV for all investigators (PIs and Co-PIs) involved in the study (with subject specific publications limited to the previous 5 years).

Funding Details

Project Duration:

Total Budget:

Share of the sponsor/s in the total budget:

Sponsor Information:

1. Indian a) Government ☐ i. Central ☐ ii. State ☐ iii. Institutional ☐

b) Private ☐ i. Industry ☐ ii. Development agency ☐ iii. Self-sponsored ☐

2. International Government ☐ Private ☐ UN agencies ☐ Other ☐

3. Industry National ☐ Multinational ☐

Specify the funding agency:

Contact Address of Sponsor:

SECTION B: RESEARCH RELATED INFORMATION

1. **Brief description of the study** –background, research question aim(s) & objectives, methodology describing the potential risks & benefits, monitoring and auditing, outcome measures, statistical analysis and implication of the research findings (maximum 500 words):

2. **Objectives of the study**

Type of Study: (Tick $\sqrt$ / X)

Socio-Behavioural Science ☐	Retrospective ☐	Cross sectional ☐
Clinical Single center ☐	Prospective ☐	Longitudinal/cohort ☐
Clinical Multi- centric ☐	Quantitative ☐	Case control ☐
Epidemiological and Public health ☐	Qualitative ☐	Systematic review ☐
Basic science ☐	Mixed method ☐	Baseline ☐
Biological sample ☐		Endline ☐
		Formative ☐

3. Clinical Trials: Drug /Vaccines/Device/Herbal Remedies: i. Does the study involve use of: Drug ☐ Devices ☐ Vaccines ☐ Indian Systems of Medicine/ ☐ Any other ☐ NA ☐ Alternate System of Medicine ☐		
ii. Is it approved and marketed In India ☐ UK & Europe ☐ USA ☐ Other countries, specify ☐		
iii. Does it involve a change in use, dosage, route of administration? Yes No		
If yes, whether DCGI's /Any other Regulatory authority's Permission is obtained? Yes No		
If yes, Date of permission:		
iv. Is it an Investigational New Drug? If yes, IND No:	Yes	No
a). Investigator's Brochure submitted	Yes	No
b). <i>In vitro</i> studies data	Yes	No
c). Preclinical Studies done	Yes	No
d). Clinical Study is : Phase I ☐ Phase II ☐ Phase III ☐ Phase IV ☐		
4. Are you aware if this study/similar study is being done elsewhere?	Yes	No
If Yes, provide details		
SECTION C: PARTICIPANT RELATED INFORMATION		
5. Subject selection: i. Number of Subjects: Sampling design and Sample Size		
The rationale for the selection of sample size in 100 words. In case of qualitative study describe the number and type of respondents.		

ii.	Duration of fieldwork :		
iii.	Will subjects from both sexes be recruited	Yes	No
iv.	Please provide the inclusion and exclusion criteria of the selection of respondents		
v.	Type of subjects: Volunteers ☐ Patients ☐		
vi.	Vulnerable subjects	Yes ☐	No ☐
If Vulnerable subjects, Tick the appropriate boxes Pregnant Women ☐ Children ☐ Elderly ☐ Fetus ☐ Illiterate ☐ Handicapped ☐ Terminally ill ☐ Seriously ill ☐ Mentally challenged ☐ Economically & Socially backward ☐ Any other (Specify) ☐			
vii.	Special group subjects	Yes ☐	No ☐
If yes in special subject group, tick the appropriate boxes Captives ☐ Institutionalized ☐ Employees ☐ Students ☐ Nurses/Dependent Staff ☐ Armed Forces ☐ Any Other ☐			
6. Privacy and confidentiality			
i.	Study involves -	Direct Identifiers ☐	
		Indirect Identifiers/coded ☐	
		Completely anonymized/ delinked ☐	
ii.	Confidential handling of data by staff	Yes	No NA
7. Use of biological/ hazardous materials		Yes	No NA
i.	Use of fetal tissue or abortions		
ii.	Use of organs or body fluids	Yes	No NA
iii.	Use of recombinant/gene therapy	Yes	No NA

If yes, has Department of Biotechnology (DBT) approval for DNA products been obtained?	Yes	No	NA
iv. Use of pre-existing/stored/left over samples	Yes	No	NA
v. Collection for banking/future research	Yes	No	NA
vi. Use of ionizing radiation/radioisotopes If yes, has Bhabha Atomic Research Centre (BARC) approval for Radioactive Isotopes been obtained?	Yes	No	NA
	Yes	No	NA
vii. Use of Infectious/biohazardous specimens	Yes	No	NA
viii. Proper disposal of material	Yes	No	NA
ix. Will any sample collected from the patients be sent abroad?	Yes	No	NA
If Yes, justify with details of collaborators:			
a) Is the proposal being submitted for clearance from Health Ministry's Screening Committee (HMSC) for International collaboration?		Yes	No
b) Sample will be sent abroad because (Tick appropriate box): Facility not available in India ☐ Facility in India inaccessible ☐ Facility available but not being accessed ☐ If so, reasons			
Informed Consent Are you seeking waiver of consent? If yes, please specify reasons and skip to item no.8: Yes ☐ No ☐			
Type of consent planned *Written/Signed ☐ Oral/Verbal ☐ Audio-visual ☐ Note: If Oral/Verbal consent is proposed; the investigator shall indicate the justification for using verbal/oral consent and shall follow the approval and documentation requirements specified by the IIPS-IRB/EC.			
In case of a minor children: Verbal assent from of minor (7-12 yrs.) along with parental consent ☐ Written assent from of minor (13-18 yrs.) along with parental consent ☐			
List of languages in which translation is done:			

Details of number of consent or/assent to be obtained in the study:			
i. Tick the included elements in the Consent form			
Understandable language	☐	Alternatives to participation	☐
Statement that study involves research	☐	Confidentiality of records	☐
Sponsor of study	☐	Contact information	☐
Purpose and procedures	☐	Statement that consent is voluntary	☐
Risks & Discomforts	☐	Right to withdraw	☐
Benefits	☐	Consent for future use of biological material	☐
Compensation for participation	☐	Benefits if any on future commercialization	☐
Compensation for study related injury	☐	e.g. genetic basis for drug development	☐
Translated in local language	☐	Need to recontact	☐
*If written consent is not obtained, give reasons:			
ii. Who will obtain consent ?	PI/Co-PI Research staff	☐ ☐	Nurse/Counsellor Any other ☐ ☐
8. Payment/Compensation			
Will you provide any form of payment/compensation to the participants as a result of their participation?	Yes	No	
If yes, please give details of the payment/compensation			
9. Will any advertising be done for recruitment of Subjects? (posters, flyers, brochure, websites – if so kindly attach a copy)	Yes	No	
10. Risks & Benefits:			
i. Is there physical / social / psychological risk / discomfort?	Yes	No	
If Yes, Minimal or no risk	☐		
More than minimum risk	☐		
High risk	☐		
In case if risks are involved, mention the risks and risk addressing mechanism:			
Risk:			
Risk addressal mechanism:			
ii. Is there a potetial benefit ?			
a) to the subject	☐	No benefit	☐
	☐	Direct benefit	☐
	☐	Indirect benefit	☐
b) to the society	☐	No benefit	☐
	☐	Direct benefit	☐
	☐	Indirect benefit	☐
c) for improvement in knowledge	☐	No benefit	☐
	☐	Direct benefit	☐
	☐	Indirect benefit	☐

Mention the benefits:		
Storage and Confidentiality		
a) Identifying Information: Study Involves samples/data. If Yes, Specify Yes ☐ No ☐ NA ☐ Anonymous/unidentified ☐ Anonymized: reversibly coded ☐ Irreversibly coded ☐ Identifiable ☐		
If identifiers must be retained, what additional precautions will be taken to ensure that access is limited / data is safeguarded? (e.g. data stored in a cabinet, password protected computer etc.)		
b) Who will be maintaining the data pertaining to the study?		
c) Where will the data be analysed and by whom?		
d) For how long will the data be stored?		
e) Do you propose to use stored samples/data in future studies? Yes ☐ No ☐ Maybe ☐		
If yes, explain how you might use stored material/data in the future?		
SECTION D: OTHER ISSUES		
11. Data Monitoring	Yes	No
i. Is there a data & safety monitoring committee/ Board (DSMB)?		
ii. Is there a plan for reporting of adverse events?	Yes	No
If Yes, reporting is done to: Sponsor ☐ Ethics Committee ☐ DSMB ☐		
iii. Is there a plan for interim analysis of data?	Yes	No
iv. Are there plans for storage and maintenance of all trial database?	Yes	No
If Yes, for how long?		
12. Is there compensation for participation?	Yes	No
If Yes, Monetary ☐ In kind ☐ Specify amount and type:		
13. Is there compensation for injury?	Yes	No
If Yes, by Sponsor ☐ by Investigator ☐ by Insurance ☐ by any other company ☐		

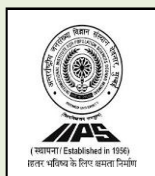
14. Do you have conflict of interest? (financial/nonfinancial) If Yes, specify :	Yes	No	
SECTION E: CHECK LIST AND DECLARATION			
Cover letter	Yes	No	NA
Copy of filled-in and duly signed IRB Form (4 copies)	Yes	No	NA
Project proposal – 4 Copies	Yes	No	NA
Short bio of the invigilators	Yes	No	NA
Curriculum Vitae of Investigators in prescribed format	Yes	No	NA
In case of collaborative research, attach the MOU with the collaborating organization	Yes	No	NA
Format of review type	Yes	No	NA
Participant information sheet-cum-Informed Consent form, (if multiple respondents, consent should be taken from each respondent)	Yes	No	NA
Informed Assent form (If applicable)	Yes	No	NA
Investigator self-declaration form	Yes	No	NA
Questionnaire and/or Copy of clinical trial protocol and/or interview guidelines	Yes	No	NA
Investigator’s brochure for recruiting subjects	Yes	No	NA
Copy of advertisements/Information brochures	Yes	No	NA
Institutional Animal Ethics Committee clearance	Yes	No	NA
Any other specify	Yes	No	NA
CPCSEA clearance, if any	Yes	No	NA
HMSC/DCGI/DBT/BARC clearance if obtained	Yes	No	NA
Survey Protocol on COVID-related Measures	Yes	No	NA
Any other information:			

Date:

Place:

Principal Investigator

International Institute for Population Sciences



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Deonar, Mumbai, Maharashtra 400088
<https://www.iipsindia.ac.in/>

IIPS-IRB

CV Format for Investigators

Format Curriculum Vitae for Investigators

Name:	
Present affiliation (<i>Job title, department, and organization</i>):	
Address (<i>Full work address</i>):	
Telephone number:	Email address:
Qualifications:	
Previous and other affiliations (<i>Include previous affiliations in the last 5 years</i>):	
Projects undertaken in the last five years:	
Relevant research training/ experience in the area:	
Attended Ethical Training (if any):	
Relevant Publications (<i>Give references to all relevant publications in the last five years</i>):	
Signature:	Date: Click here to enter a date.

International Institute for Population Sciences	
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Title of study:	
Principal Investigator (Name, Designation, and Affiliation):	
1. Choose reasons why expedited review from EC is requested ¹ ?	
i. Involve non-identifiable specimen and human tissue from sources like blood banks, tissue banks and left-over clinical samples	☐
ii. Involve clinical documentation materials that are non-identifiable (data, documents, records).	☐
iii. Modification or amendment to approved protocol (administrative changes/correction of typographical errors and change in researcher(s))	☐
iv. Revised proposals previously approved through expedited review, full review or continuing review of approved proposals	☐
v. Minor deviations from originally approved research causing no risk or minimal risk	☐
vi. Progress/annual reports where there is no additional risk, for example activity limited to data analysis. Expedited review of SAEs/unexpected AEs will be conducted by SAE subcommittee.	☐
vii. For multicentre research where a designated EC has approved the proposal, a participating EC may review participating centre specific information and modification in the study proposal through full committee meeting/ expedited review depending on the importance of local consent related issues involved specific to the centre.	☐
viii. Research during emergencies and disasters (See Section 12 of ICMR Ethical Guidelines, 2017).	☐
ix. Any other (please specify)	☐
2. Is waiver of consent being requested ?	Yes ☐ No ☐
3. Does the research involve vulnerable person ² ? If Yes give details (attach a separate sheet):	Yes ☐ No ☐

Signature of PI:

Comments of Project Cell:

Signature of Convener:

¹Refer to National Ethical Guidelines for Biomedical & Health Research Involving Human Participants 2017, Page 51 Table 4.2²For details, refer to application for initial review, Section-C, 5(b)

*In case this is first submission, leave it blank

International Institute for Population Sciences	
 Deemed-to-be-University B. S. Devashi Marg (Govandi Station Road) Deonar, Mumbai, Maharashtra 400088 https://www.iipsindia.ac.in/	IIPS-IRB Exemption from Review

Title of study:	
Principal Investigator (Name, Designation, and Affiliation):	
1. Choose reasons why exemption from ethics review is requested ^{1,2} ?	
i. Research on data in the public domain/ systematic reviews or meta-analyses	☐
ii. Observation of public behaviour/ information recorded without linked identifiers and disclosure would not harm the interests of the observed person	☐
iii. Quality control and quality assurance audits in the institution	☐
iv. Comparison among instructional techniques, curricula, or classroom management methods	☐
v. Consumer acceptance studies related to taste and food quality	☐
vi. Public health programmes by government agencies	☐
vii. Any other (please specify in 100 words)	☐

Signature of PI:

Comments of Project Cell:

Signature of Convener:

¹Select the category that applies best to your study and justify why you feel it should be exempted from review. For a detailed understanding of the type of studies that are exempt from review, refer to National Ethical Guidelines for Biomedical & Health Research Involving Human Participants 2017, Page 51 Table 4.2

²Such as programme evaluation where the sole purpose of the exercise is refinement and improvement of the programme or monitoring (where there are no individual identifiers)

*In case this is first submission, leave it blank

General Guidelines

[Title of your Institute]

Informed Assent Form (Respondents under age 18)

[Informed assent form should be on the Institute's letterhead]

Assent refers to agreeing or approving after thoughtful consideration an idea or suggestion to participate in research by a young person below the age of 18 years, who is old enough to understand the implications of any proposed research but not legally eligible to give consent. The assent has to be corroborated with the informed consent of the parent.

No identifying information, such as the respondent's name, address, or other personal details, should be recorded on the consent form, except for the respondent's signature if they voluntarily choose to provide it. Respondents must not be coerced or compelled to sign the consent form if they do not wish to do so. However, every consent form must include a signed statement by the interviewer confirming that the contents of the consent form were explained to the respondent and that the respondent voluntarily agreed to participate in the interview.

Obtaining Informed Assent from Children or Minors Parents, legal guardians, or a legally authorized official must agree/ sign consent forms permitting children or minors to participate in research projects. In addition, children and minors are required to sign an Assent Form if they wish to. Where verbal/oral assent is obtained in younger children or in age-appropriate circumstances, the process should be documented in accordance with the approved procedure, and the parent/legal guardian's confirmation or counter signature should be obtained, wherever applicable. The content of an assent form for children participating in research should be tailored to their age and understanding. It should be written in simple, clear language that aligns with their cognitive, social, and emotional development.

If the study involves re-approaching or contacting the respondent at a later stage, such as in a longitudinal study, the respondent's consent to be re-approached must be obtained explicitly as part of the original consent process.

Here's a breakdown of key points to include:

Key components to include are:

1. Explanation of the Study (Benefit & Purpose):

- a. Briefly explain the research project and how it might benefit children like them in a child-friendly way. Mention the activities involved in the study, including any potential discomfort the child might experience.

2. Study Procedures and Potential Discomfort:

- a. Describe the activities involved in the study, using simple language and avoiding medical jargon.
- b. Mention any potential discomfort the child might experience and assure them it will be minimized.

3. Right to Ask Questions and Contact Information:

- a. Emphasize that the child can ask questions about the research at any time.
- b. Provide contact information for a person the child can reach with questions or concerns (e.g., researcher, parent liaison).

4. Voluntary Participation and Confidentiality:

- a. Clearly state that the child's participation is voluntary and they can refuse to participate

- or withdraw at any point without impacting their treatment or care.
- b. Assure them that refusing will not affect their treatment or care at the center.

5. Consent and Contact Information:

- a. Provide contact information for a person the child can reach with questions or concerns.

Sample:

[Project Title:]

My name is [Your Name], and I'm here to talk to you about a study we're doing about [Project Title and Brief description of the study in child-friendly language].

Your parent(s)/guardian(s) have already given their permission for you to participate, but it's completely up to you if you want to be part of it [explain the voluntary nature of participation and right not to participate and withdraw]

You may feel some inconvenience because of the time and effort to be a participant. You may also find some questions that we ask to be upsetting or may feel embarrassed to answer them [mention any potential discomfort in a gentle way].

You do not have to respond to any question that makes you uncomfortable and you may stop the interview at any time and nothing will happen. There are no direct benefits to participating in the study, although you will help us understand what children like you want or need.

Your participation is entirely voluntary and you can stop the interview at any point of time even after having agreed to participate. If you decide not to participate it will not affect any benefits to which you are entitled. I want to assure you that the information you provide during the study will be kept private and confidential.

If you have any question, please feel free to ask them to me. Or you can also contact the Project PI on the following address. [Address questions of the child regarding the survey if any]

Principal Investigator: [Name, Affiliation, Office, Mobile, Email Address]

[Principal Investigator and Contact Information]

Do you agree to participate in this survey? [Verification of child's consent]

Tick the answer:

- ☐ **Yes**, I agree to take part in this study. ☐
- ☐ **No**, I do not want to take part in this study. ☐

Signature: Thumb Impression of Child/Adolescent (if applicable):

Date: / /

Note: Where written assent is not appropriate and verbal/oral assent is used, the same shall be obtained only in accordance with the approved procedure, after obtaining consent from the parent/legal guardian, and shall be documented by the person obtaining assent.

[Interviewer's Declaration]

I confirm that the individual has given consent freely. I have taken consent from parents (assent from in case of minor) before the interview

Interviewer's Name and signature: _____ Date: ____/____/____

Guidelines for the Informed consent

An informed consent form must include the following:

1. Obtaining an Informed Consent is not simply obtaining a signature on a prescribed format rather, it is a process of sharing information and addressing questions and concerns of the participant.
2. It is based on the principle that competent individuals are entitled to choose freely whether or not to participate or continue to participate in the research.
3. Participants must then give their consent to participate on an informed consent form developed specifically for the research project.
4. No identifying information, such as the respondent's name, address, or other personal details, should be recorded on the consent form, except for the respondent's signature if they voluntarily choose to provide it.
5. Respondents must not be coerced or compelled to sign the consent form if they do not wish to do so. However, every consent form must include a signed statement by the interviewer confirming that the contents of the consent form were explained to the respondent and that the respondent voluntarily agreed to participate in the interview.
6. If the study involves re-approaching or contacting the respondent at a later stage, such as in a longitudinal study, the respondent's consent to be re-approached must be obtained explicitly as part of the original consent process.
7. Verbal/oral consent may be used with prior approval of the IIPS-IRB/EC. In such cases, the participant must still be provided with all essential information relating to the study, including its purpose, procedures, risks, benefits, confidentiality protections, and the voluntary nature of participation. Where verbal/oral consent is approved, the process shall be documented appropriately, including the date and time of consent, and signature of the interviewer. Verbal/oral consent shall not be adopted as a routine substitute for written informed consent.
8. There are research situations where a participant's signature on informed consent is not required. However, permission from IRB is always required for waving off of the signature.
9. The informed consent form should be submitted in English as well as in local language(s).
10. The goal and objective of research in simple jargon-free language. The language should not only be scientifically accurate and simple, but should also be sensitive to the social and cultural context of the participant.
11. Informed consent is a continuous process involving three main components: providing relevant information to potential participants, ensuring the competence of the individual, ensuring the information is easily comprehended by the participants, and ensuring voluntariness.

Part - A

International Institute for Population Sciences	
 Deemed-to-be-University B. S. Devashi Marg (Govandi Station Road) Deonar, Mumbai, Maharashtra 400088, https://www.iipsindia.ac.in/	IIPS-IRB IIPS-IRB: Informed Consent Form

[Project Title:]

1. [Introduction]

Greetings Self-introduction
Statement mentioning that it is research

2. [Purpose of Your Study]

- Clear the research objectives and outcome of the study
- [Respondent's Role]-Explain the procedure to participate in the interview/survey. Explanation of all the research tools employed. Reasons or methods for inclusion/exclusion of the particular group or individual(s) in the community or in any other settings, for participation in the survey should be briefed.
- Detailed description of the methodology
- [Time]-Approx. estimated time to complete the survey
 - The interview will take approximately minutes to complete.

3. [Risks or Benefits]-

- **Risks: [Describe any potential risks/ discomfort/ inconvenience associated with participation.]**
 - You may feel some inconvenience because of the time and effort to be a participant. In case of sensitive surveys, including questions on You may also find some questions that we ask to be upsetting or may feel embarrassed to answer them. You do not have to respond to any question that makes you uncomfortable and you may stop the interview at any time and nothing will happen.
- **Benefits: [Explain any potential benefits to participants or community.]**
 - There are no direct benefits to participating in the study, although you will help us understand what children like you want or need

4. [Privacy/Confidentiality/Data Security]

- It is necessary to maintain the privacy and confidentiality of participants at all stages.
- The extent of privacy, anonymity, and confidentiality that will be provided to participants
 - The information shared by you will be kept confidential and will not be shared with anyone, and will be used only for research purposes.
- [Data Sharing- Data collected will be completely anonymized / partially anonymized]
 - The data will only be used for research and planning purposes without any personal identification.

Suppose in the case of Indirect Identifiers, you may give:

- *All the information you provide will be strictly confidential, and your name will not appear on the questionnaire. Instead, your questionnaire will contain an identification number that is known only by the principal investigator of this study.*
- *No one including your family members, friends, or other members of the community will ever know that you have not participated in the survey and no one will know what answers you gave since we do not collect information about your name etc.*
- [Information on any follow-ups of survey if any]
 - *The survey team may also re-contact you if it is necessary to complete the information in the survey.*
- [Voluntary nature of participation and Right not to participate and withdraw]
 - *Your participation is voluntary. You may refuse to participate or may discontinue your participation at any time during the survey. You can also choose not to answer any questions.*
- [Importance of the response/survey and future use of the information]
 - *Your responses are very important to us and the community, as these answers will represent many other people. This is an important study and I hope you will participate fully.*

5. [Contact information]

We will leave the necessary contact information with you. If you have any questions or concerns about this study, please contact on the address given below.

6. [Address questions of the Respondent regarding the survey if any]

- **Do you have any questions?**
 - *Should you have any question about the survey please feel free to ask me or contact the concerned authority.*

[Principal Investigator and Contact Information]

Principal Investigator: [Name, Affiliation, Office, Mobile, Email Address]

7. [Consent] Respondent's willingness to participate in the study

- *Do you agree to participate in this survey?*

[Verification of consent]

Tick the answer:

1. Consent given with signature.
2. Consent given with thumb impression.
3. Verbal/oral consent given
4. Consent refused.

☐
☐
☐
☐

(Respondent's declaration)

I, _____, have read the Participant Information Sheet for the above-mentioned project. The information provided in the sheet regarding the nature, purpose, safety, potential risks/benefits, and the expected duration of the study, as well as other relevant details, including my role as a study participant, has been explained to me in a language that I understand.

I confirm that:

- I have had the opportunity to ask questions, and all my queries have been answered to my satisfaction.
- I understand that my participation in this research study is entirely voluntary, and I have the right to withdraw from the study at any point without giving any reason and without affecting my current or future medical treatment or relationship with the researchers.
- I understand the risk & benefits of participating in this study.
- I understand that refusing to participate or withdrawing will not result in any penalty or loss of benefits to which I am entitled.
- I understand that my personal information and data collected during this study will be kept confidential. Only the researchers involved in the study, the sponsoring agencies, regulatory authorities, and IRB may access my records if necessary, for monitoring and auditing the study in line with the ethical guidelines.
- I understand that the data will be anonymized for any future use, and my identity will not be revealed in any reports or publications that come out of this research.

By signing this consent form or verbally agreeing to participate in this study. I understand that I can withdraw at any time without giving a reason and without any loss of benefit.

[Interviewer's Declaration]

I have informed the respondent about the project, risk and benefit and also confidentially risk taken consent from the respondent before the interview.

Interviewer's Name and signature:

Date: ----/-----/---

If you have any questions about the study, please contact:

Contact Information:

Principal Investigator (PI):

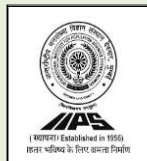
Name: _____ Designation: _____

Institution: _____ Phone: _____

Email: _____

Part - B

International Institute for Population Sciences



Deemed-to-be-University
B. S. Devashi Marg (Govandi Station
Road) Deonar, Mumbai, Maharashtra
400088,

<https://www.iipsindia.ac.in/>

IIPS-IRB

IIPS-IRB: Informed Consent Form

Parent/Guardian Consent Form for Participation of a Minor in Research

(Sample)

Title of the Study: _____

Name of Principal Investigator: _____

Institution: _____

Study Identification No.: _____

1. Purpose of the Study

I have been informed about the purpose and objectives of the above-mentioned research study. The researcher has explained to me why my child is being invited to participate and what the study involves.

2. Procedures Involved

I understand the procedures that my child will be asked to undertake, including interviews, measurements, collection of information or biological samples, if applicable. I have been given an opportunity to ask questions and have received satisfactory answers.

3. Voluntary Participation

I understand that my child's participation is voluntary. I understand that I may refuse permission for my child to participate or withdraw my permission at any time, without giving a reason and without any penalty or loss of benefits to which my child is otherwise entitled.

I also understand that **my consent does not override my child's willingness to participate**. If my child does not wish to participate or does not provide assent, the research procedures involving my child will not be undertaken, except where specifically permitted by applicable ethical guidelines.

4. Risks and Benefits

The researcher has explained the possible risks, discomforts, and benefits associated with participation. I understand that reasonable measures will be taken to minimize any risks or discomfort to my child.

5. Confidentiality

I understand that information collected about my child will be kept confidential and will be used only for the purposes explained to me. Identifying information will not be disclosed in reports, publications, or presentations unless required by law or specifically authorized.

6. Audio/Video Recording

If applicable, I have been informed about the proposed audio/video recording of the research activities. I understand the purpose of the recording and how it will be stored and used.

I give permission for audio/video recording:

☐ Yes ☐ No

7. Future Contact/Re-approach

If applicable, I have been informed that the researcher may need to contact my child again for follow-up or future stages of the study.

I give permission for my child to be re-approached for this study:

☐ Yes ☐ No

8. Questions and Concerns

I have been informed whom to contact if I have questions, concerns, or complaints regarding the study or my child's participation.

Name/Designation of Contact Person: _____

Contact Details: _____

9. Consent Statement

I confirm that:

- I have read, or the contents of this consent form have been explained to me in a language that I understand.
- I have had sufficient opportunity to ask questions and have received satisfactory answers.
- I understand the purpose, procedures, possible risks and benefits, and voluntary nature of the study.
- I understand that I may withdraw my permission for my child's participation at any time without penalty.
- I understand that my child will also be asked to provide **assent** in an age-appropriate manner before participating.
- I understand that if my child does not agree to participate or withdraws assent, the research procedure will not proceed, even if I have provided parental/guardian consent.

I voluntarily give permission for my child to participate in this research study.

Relationship to Child: _____

Signature/Thumb Impression/verbal agreement of Parent/Guardian: _____

Date: _____ **Place:** _____

10. Statement by the Researcher/Interviewer

I confirm that I have explained the purpose, procedures, potential risks and benefits, voluntary nature of participation, confidentiality provisions, and other relevant information regarding the study to the parent/legal guardian in a language that they understand. I have answered all questions to the best of my ability and confirm that the parent/legal guardian has voluntarily provided consent for the child's participation.

Name of Researcher/Interviewer: _____

Signature: _____ Date: _____

11. Child Assent

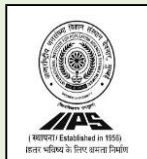
I confirm that the child has been provided with age-appropriate information about the study and has been given an opportunity to ask questions and express their willingness or unwillingness to participate. **Parent/guardian consent alone shall not be considered sufficient where the child does not provide assent, as required by the approved study protocol and applicable ethical requirements.**

Name of Researcher/Interviewer: _____

Signature: _____ Date: _____

Form Part – A

International Institute for Population Sciences



Deemed-to-be-University
B. S. Devashi Marg (Govandi Station Road)
Deonar, Mumbai, Maharashtra 400088

<https://www.iipsindia.ac.in/>

IIPS-IRB Self Declaration Form

DECLARATION BY THE PRINCIPAL INVESTIGATOR

Study Title: _____

I hereby declare that:

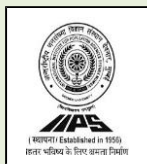
1. Voluntary written consent of the human subject will be obtained.
2. In case of children and mentally handicapped subjects-voluntary written informed consent of the parents/guardians will be obtained.
3. The probable risk involved in the project will be explained in full details to the subjects/ parents/ guardians.
4. Subjects/ parents/ guardians will be at liberty to opt out of the project at any time.
5. If the study involves re-approaching or contacting the respondent at a later stage, such as in a longitudinal study, the respondent's consent to be re-approached must be obtained explicitly as part of the original consent process.
6. I will terminate the study at any stage, if have probable cause to believe, in the exercise of the good faith, skill and careful judgement required for me that continuation of the experiment is likely to result in injury, disability of death to the experimental subject.

Date: _____

(Signature of Principal Investigator)
Department _____

Form Part-B

International Institute for Population Sciences



Deemed-to-be-University
B. S. Devashi Marg (Govandi Station Road)
Deonar, Mumbai, Maharashtra 400088
<https://www.iipsindia.ac.in/>

IIPS-IRB Self Declaration Form

(DECLARATION BY THE PRINCIPAL INVESTIGATOR/ DIRECTOR)

Study Title: _____

- | | | | |
|--|-----|----|----|
| 1. Is the Department/ University ready to undertake the responsibility of the human subjects in case of injury? If yes, then will it include | Yes | No | NA |
| • Transportation charges | | | |
| • Hospitalization | | | |
| 2. Do you think that the study is so designed that they would yield meaningful results that could not be obtained by the other method? | Yes | No | NA |
| 3. Do you think that the animal experiments carried put support the need for clinical experimentation? | Yes | No | NA |
| 4. Do you think that the study would be conducted in a manner to avoid all unnecessary physical and mental suffering and injury? | Yes | No | NA |
| 5. Do you think the experiments have been planned in a manner so that the degree of risk to be taken would never exceed that determined by the humanitarian importance of the problem to be solved by the experiment? | Yes | No | NA |
| 6. Do you think that proper preparations would be made and adequate facilities provided to protect the study subject against even remote possibilities of injury, disability or death? | Yes | No | NA |
| 7. Do you think that safeguards have been taken to see that the research would be conducted only by scientifically qualified persons who possess the requisite competence, experience and qualities to carry out the research? | Yes | No | NA |

Date:

(Signature of Principal Investigator)

(Signature of Director)

No.
Date:

**Institutional Review Board
(IRB00013212)**

<u>Chairperson</u>	Project title: _____	
Dr. Shireen J. Jejeebhoy, Independent Researcher	Name & Address of Institution: _____	
<u>Convener-cum-Member Secretary</u>	Principal Investigator:	Name of Co-PI:
Prof. Aparajita Chattopadhyay, IIPS	Name: _____	_____
	Address: _____	
	Contact Number: _____	
	Email ID: _____	
	Collaborators' Name: _____	Sponsor: _____
	Address: _____	
	Contact No.: _____	
	Email: _____	
	Review Status: New Review/ Revised Review	
<u>Members</u>	The following item [√] have been received and reviewed in connection with the above study to be conducted by the above investigator.	
Prof. Archana	[] Participant Information Sheet	
K. Roy, IIPS	[] Study Protocol / Synopsis	
Prof. (Dr.)	[] Summary of Change Document (in case of a revision)	
Gajanan Velhal,	[] Informed Consent Form	
B K L	[] Informed Assent Form (in case minors are involved)	
Walawalkar	[] Investigators' CVs	
Rural Medical	And have been []	
College,	[] Approved	
Ratnagiri	Comments (if any):	
Dr. Lalita	Date of Approval:/...../.....	
Savardekar,		
ICMR-NIRRH		
Ms. Sushmita		
Das, Society for		
Nutrition,		
Education &		
Health Action		
(SNEHA)		
Prof. M.		
Sivakami, TISS		
Adv. Ashwin C.		
	Please note: - Inform IRB immediately in case of any adverse events and serious adverse events. - Inform IRB in case of any change of study procedure, site and investigator. - Members of IRB have right to monitor the pretesting procedure with prior intimation.	

Annexure 8: IIPS-IRB Certificate

Thool , Counsel, Hon'ble High Court of Bombay and Supreme Court of India Ms. Vijaya Durdhawale Social Worker	- Members of IRB have right to monitor the field procedure with prior intimation. Convener IRB Committee Chairperson IRB Committee
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दुरभाष/ Telephone: 91-22- 4237 2400

निदेशक/ Director: 91-22- 25562062, 25573943,

फैक्स/Fax: 25563257

कार्यालय/ Office: 91-22-25563254 /55

ई-मेल/ E-mail: director@iips.net

वेबसाईट / Website: www.iipsindia.ac.in

*(An University Established under Section 3 of UGC Act 1956, vide Ministry of Education GOI, Notification No. F-9-14/81-U-3 dtd 14 August, 1985)

International Institute for Population Sciences	
 Deemed-to-be-University B. S. Devashi Marg (Govandi Station Road) Deonar, Mumbai, Maharashtra 400088 https://www.iipsindia.ac.in/	IIPS-IRB Audit and Inspection Checklist

Audit and Inspection Checklist

1) Date of letter of communication regarding audit/ inspection
2) Date(s) agreed upon for the audit/inspection
3) Ensure IRE-IS members and staff have been informed about the date(s) and time
4) Ensure availability of IRB-1S related information (mandate, terms of reference, organization chart) in print form in the IRE office
5) Availability of latest signed SOPs in print and/or electronic form in the IRB office
6) Review SOPs and note any omissions or deviations with reasons
7) Availability of all national and international ethics guidelines and regulations in print and/or electronic form
8) Review ongoing and completed research study files for signed documents, noting any missing/incomplete documents and actions taken
9) Ensure availability of documents regarding list of members, tenure, appointment details, CYs, and training of IRB members
10) Ensure documents regarding staff appointments, CVs, and training of IRE members are available
11) Ensure security measures for the electronic database and office records are in place
12) Confirm proper maintenance, retrieval, storage, archival, and tracking of study files per SOPs
13) Confirm proper labelling and indexing of study files and storage cabinets
14) Designate members to communicate with auditors/inspectors, be available for audit, prepare action plan, and conduct follow-up audit (if applicable)
15) Report audit findings and inspection report at the full board IRE-IS meeting
16) Arrange for meeting venue, catering, accommodation, and travel for the visit if necessary